

Anti-Bribery & Corruption Policy.

Introduction

The Rail Academy (TRA), have a policy to conduct all of our business in an honest and ethical manner. We take a zero-tolerance approach to bribery and corruption and are committed to acting professionally, fairly and with integrity in all our dealings wherever we operate. We are also committed to implementing and enforcing effective systems to counter bribery.

Requirements

This policy applies to all individuals working at all levels and grades, including senior managers, directors, employees (whether permanent, fixed term or temporary), consultants, contractors, and any other person providing services to us.

What is a bribe?

A bribe is a financial or other advantage offered or given:

- To anyone to persuade them to or reward them for performing their duties improperly or;
- To any public official with the intention of influencing the official in the performance of his duties.
Promotion or transfer to Safety Critical activities.

Gifts and Hospitality

This policy does not prohibit giving and receiving promotional gifts of low value (£100 or less) and normal and appropriate hospitality. We will not provide gifts or hospitality with the intention of persuading anyone to act improperly or to influence a public official in performance of duties.

Facilitation payments and kickbacks

We do not make, and will not accept, facilitation payments or "kickbacks" of any kind. Facilitation payments are typically small, unofficial payments made to secure or expedite a routine government action by a government official. Kickbacks are typically payments made in return for a business favour or advantage. All employees must avoid any activity that might lead to, or suggest, that a facilitation payment or kickback will be made or accepted by us.

Donations

We do not make contributions of any kind to political parties. No charitable or community donations will be made for the purpose of gaining any commercial advantage.

Record Keeping

- All gifts and hospitality provided by TRA or its employees must be approved by a Director.
- All gifts and hospitality received by the company, or its employees must be reported to the Managing Director who is accountable for managing conflicts of interest.
- We will keep financial records and have appropriate internal controls in place which will evidence the business reason for making any payments to third parties.
- All expense claims relating to hospitality, gifts or expenses incurred to third parties must be submitted in accordance with our expenses policy and specifically record the reason.
- All accounts, invoices, memoranda and other documents and records relating to dealings with third parties, such as clients, suppliers and business contacts, must be prepared and maintained with strict accuracy and completeness. No accounts must be kept "off-book" to facilitate or conceal improper payments.

Raising Concerns

Employees will be encouraged to raise concerns about any issue or suspicion of malpractice at the earliest possible stage. No employee will suffer any detriment as a result of raising genuine concerns about bribery, even if they turn out to be mistaken.

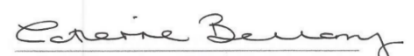
Monitoring of compliance

The effectiveness of this policy will be regularly reviewed by the TRA Governance Panel and findings communicated to the TRA Board. Internal control systems and procedures will be subject to audit under the internal audit process.

Cath Bellamy, Managing Director

The Rail Academy Limited

Date: June 2023



| | | | |
|----------------------------------|-----------------------|------------------------------|--------------|
| Document Number: TRA-L1-INT-011. | Issue No: 1.1 | Issue Date: 16/06/2023 | Page: 1 of 1 |
| Function lead / Approver: MD | Document Owner: HC&QA | Next Review Date: 16/06/2026 | |